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| [**Listed Companies**](http://cpd.hk/evt000000277/)  *by*  [Mr. Clemence Yeung](http://www.profectional.com/presenters/idl000010267/),  Barrister-at-Law |  |

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|  | Mr. Yeung was called to the Bar in 1997. Prior to that he was admitted as a solicitor in England and Wales and in Hong Kong and practised as a solicitor in the litigation department of an international firm. He was a contributor to Halsbury's Laws of Hong Kong Volume 18(1) Maritime Law (Butterworths) and has authored a book on the law of unjust enrichment in Hong Kong. |

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| This talk focuses on three key areas relevant to practitioners advising on matters concerned with listed companies: scheme of arrangement (privatisation), the consultation paper on backdoor listing (with update if conclusions on the consultation are published before May 2019) and market misconduct. |
| **Recent cases and other relevant materials to be considered include:** |
| * **Scheme of arrangement**   1. An Overview   2. Recent Cases      + Re Enice Holdings Co. Ltd. [2018] HKCU 2694      + Re China Assets (Holdings) Ltd. [2017] HKCU 3119 * **Market misconduct**   1. Scaffolding      + The report of the Market Misconduct Tribunal into dealings in the shares QPL International Holdings Ltd. (25 February 2009)      + 證券及期貨事務監察委員會 訴 陳景倫及另一人 [2014] HKCU 86   2. Layering      + Decision Notice of the Financial Conduct Authority in 7722656 Canada Inc. formerly carrying on business as Swift Trade Inc. c/o BBRMS Holdings Inc.      + 7722656 Canada Inc. v. Financial Conduct Authority [2013] Lloyd’s LR (FC) 381      + Financial Conduct Authority v. Da Vinci Invest Ltd. and others [2016] 1 BCLC 554   3. Self-trades      + Australian Securities Commission v. Nomura International PLC (1998) 29 ACSR 473      + The report of the Market Misconduct Tribunal into dealings in the shares of Sino Katalytics Investment Corp. (16 August 2012) |

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| * 1. Special crossings      + Re Rosenberg v. Australian Securities and Investments Commissions (2010) 117 ALD 582   2. Stabilisation   3. Miscellaneous cases      + The Securities and Futures Commission v. Young Bik Fung and others [2018] HKCFA 45      + The Securities and Futures Commission v. Yiu Hoi Ying Charles and others [2018] HKCFA 44      + The report of the Market Misconduct Tribunal into dealings in the shares of Citic Ltd. (7 April 2017)      + Securities and Futures Commission v. Qunxing Paper Holdings Co. Ltd. and others [2018] 4 HKC 465 * **Backdoor listing**   1. Hong Kong Stock Exchange’s Consultation Paper on Backdoor Listing, Continuing Listing Criteria and Other Rule Amendments (25 Sep 2018) |

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| Date: | **22 July 2019 (Monday) (Amended)** | | Language: | **English** | |
| Time: | **14:30 - 17:45**  (Reception starts at 14:00) | | Accreditation(s): | **LSHK 3.0 CPD Points**  **SFC 3.0 CPT Hours** | |
| Venue: | **[Kornerstone Institute](http://goo.gl/maps/DKYQ1)**  [15/F, Hip Shing Hong Centre](http://goo.gl/maps/DKYQ1)  [55 Des Voeux Road Central](http://goo.gl/maps/DKYQ1)  [Central, Hong Kong](http://goo.gl/maps/DKYQ1) |  | Request for  Rerun: | **Please** [**Contact Us**](mailto:marketing@profectional.com)  **for Details** |  |